

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Charles R. Mooney, et al.	) Group Art Unit: Not Assigned
	)
Application No.: Not Assigned	) Examiner: Not Assigned
	)
Filing Date: July 3, 2003	)
	)
For: VASCULAR ACCESS DEVICES HAVING HEMOSTATIC SAFETY VALVE	)
	)

Mail Stop Patent Application  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to the duty of disclosure set forth under 37 CFR §§ 1.56, 1.97, and 1.98, Applicants enclose the attached form PTO/SB/08. Pursuant to 37 CFR § 1.98(d) copies of those references on the PTO/SB/08 form are not being submitted because they were previously cited by or submitted to the U.S. Patent Office in prior application(s) S/N 09/450,032 from which the present application relies upon for an earlier filing date under 35 U.S.C. § 120. No fee is believed due; however, if a fee is to be charged please charge to our Deposit Account No. 501225 (ECC-5527DIV).

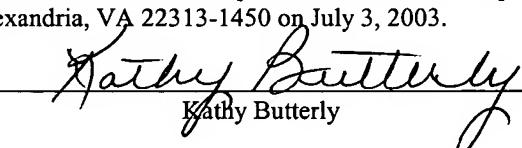
Respectfully submitted,

Date: July 3, 2003

  
Lena I. Vinitskaya, Reg. No. 39,448  
Edwards Lifesciences LLC  
Law Department  
One Edwards Way  
Irvine, California 92614  
Telephone: (949) 250-6856  
Facsimile: (949) 250-6850  
Customer No. 30452

CERTIFICATE OF MAILING UNDER 37 C.F.R. SECTION 1.10

I hereby certify that the above identified document is being deposited with the United States Postal Service as Express Mail No. EV 337121107 US in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 3, 2003.

  
\_\_\_\_\_  
Kathy Butterly

<p>Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)</p>				<b>Complete if Known</b>		
				<b>Applicant Number</b>		Not Assigned
				<b>Filing Date</b>		July 3, 2003
				<b>First Named Inventor</b>		Mooney, et al.
				<b>Art Unit</b>		Not Assigned
				<b>Examiner Name</b>		Not Assigned
Sheet	1	of	5	<b>Attorney Docket Number</b>	ECC-5527DIV	

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number – Kind Code <sup>2</sup> (If known)		
	AA	1,696,018	07/10/26	Schellberg
	AB	4,299,217	11/10/81	Sagae, et al.
	AC	4,343,844	08/10/82	Thayer, et al.
	AD	4,406,656	09/27/83	Hattler, et al.
	AE	4,451,252	05/29/84	Martin
	AF	4,601,701	07/22/86	Mueller, Jr.
	AG	4,670,009	6/2/87	Bullock
	AH	4,705,501	11/10/87	Wigness, et al.
	AI	4,758,221	07/19/88	Jureidini
	AJ	4,776,841	10/11/88	Catalano
	AK	4,795,439	01/03/89	Guest
	AL	4,842,582	06/27/89	Mahurkar
	AM	4,846,791	07/11/89	Hattler, et al.
	AN	4,894,057	01/16/90	Howes
	AO	4,906,232	03/06/90	Reynolds
	AP	4,906,496	03/06/90	Hosono, et al.
	AQ	4,968,307	11/06/90	Dake, et al.
	AR	5,021,044	06/04/91	Sharkawy
	AS	5,025,778	06/25/91	Silverstein, et al.
	AT	5,053,004	10/01/91	Markel, et al.
	AU	5,092,846	3/1992	Nishijima, et al.
	AV	5,092,857	3/3/92	Fleischhacker
	AW	5,106,368	04/21/92	Uldall, et al.
	AX	5,114,408	5/19/92	Fleischhacker, et al.

\*Applicant's unique citation designation number (optional). <sup>1</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>2</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St. 3). <sup>3</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>5</sup>Applicant is to place a check mark here if English language Translation is attached.

<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(Use as many sheets as necessary)</p>				<b>Complete if Known</b>		
				<b>Application Number</b>		Not Assigned
				<b>Filing Date</b>		July 3, 2003
				<b>First Name &amp; Inventor</b>		Mooney, et al.
				<b>Art Unit</b>		Not Assigned
				<b>Examiner Name</b>		Not Assigned
Sheet	2	of	5	<b>Attorney Docket Number</b>	ECC-5527DIV	

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number – Kind Code <sup>2</sup> (If known)		
	AY	5,149,330	09/22/92	Brightbill
	AZ	5,156,596	10/20/02	Balbierz, et al.
	BA	5,158,540	10/27/92	Wijay, et al.
	BB	5,163,906	11/17/92	Ahmadi
	BC	5,207,648	05/04/93	Gross
	BD	5,215,527	06/01/93	Beck, et al.
	BE	5,219,335	06/15/93	Willard, et al.
	BF	5,221,256	06/22/93	Mahurkar
	BG	5,246,016	09/21/93	Lieber
	BH	5,250,038	10/05/93	Melker, et al.
	BI	5,295,962	03/22/94	Crocker, et al.
	BJ	5,328,480	07/12/94	Melker, et al.
	BK	5,360,414	11/01/94	Yarger
	BL	5,364,376	11/15/94	Horzewski, et al.
	BM	5,364,377	11/15/94	O'Neil
	BN	5,376,077	12/27/94	Gomringen
	BO	5,378,230	01/03/95	Mahurkar
	BP	5,391,152	02/21/95	Patterson
	BQ	5,403,291	04/04/95	Abrahamson
	BR	5,435,308	07/25/95	Gallup, et al.
	BS	5,451,206	09/19/95	Young
	BT	5,456,673	10/10/95	Ziegler, et al.
	BU	5,464,398	11/07/95	Haindl
	BV	5,472,417	12/05/95	Martin, et al.

\*Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(Use as many sheets as necessary)</p>				<b>Complete if Known</b>		
				<b>Application Number</b>		Not Assigned
				<b>Filing Date</b>		July 3, 2003
				<b>First Name of Inventor</b>		Mooney, et al.
				<b>Art Unit</b>		Not Assigned
				<b>Examiner Name</b>		Not Assigned
Sheet	3	of	5	<b>Attorney Docket Number</b>	ECC-5527DIV	

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number – Kind Code <sup>2</sup> (If known)		
	BW	5,472,418	12/1995	Palestrant
	BX	5,486,159	01/23/96	Mahurkar
	BY	5,527,292	6/18/96	Adams, et al.
	BZ	5,599,324	02/04/97	McAlister, et al.
	CA	5,601,603	02/11/97	Illi
	CB	5,607,462	03/04/97	Imran
	CC	5,613,954	3/25/97	Nelson, et al.
	CD	5,618,267	04/08/97	Palestrant
	CE	5,700,251	12/23/97	Miyauchi, et al.
	CF	5,718,692	02/17/98	Schon, et al.
	CG	5,728,064	03/17/98	Burns, et al.
	CH	5,749,889	05/12/98	Bacich, et al.
	CI	5,800,414	09/01/98	Cazal
	CJ	5,800,384	09/01/98	Russell, et al.
	CK	5,807,311	09/15/98	Palestrant
	CL	5,810,776	09/22/98	Bacich, et al.
	CM	5,827,243	10/27/98	Palestrant
	CN	5,879,324	03/09/99	von Hoffmann
	CO	6,312,374 B1	11/06/01	von Hoffmann

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

<p>Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)</p>				<b>Complete if Known</b>	
				<b>Application Number</b>	Not Assigned
				<b>Filing Date</b>	July 3, 2003
				<b>First Name and Inventor</b>	Mooney, et al.
				<b>Art Unit</b>	Not Assigned
				<b>Examiner Name</b>	Not Assigned
<b>Sheet</b>	4	<b>of</b>	5	<b>Attorney Docket Number</b>	ECC-5527DIV

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date	T <sup>6</sup>
		Office Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)		
CP		EP	0 000 041 B1		04/29/81	
CQ		EP	0 056 103 B1		01/16/85	
CR		EP	0 249 456 A2		12/16/87	
CS		EP	0 381 062 B1		08/08/90	
CT		EP	0 490 459 B1		02/19/97	
CU		EP	0 495 263 A1		07/22/92	
CV		EP	0 504 934 B1		09/23/92	
CW		EP	0 515 119 B1		11/25/92	
CX		EP	0 547 463 A1		06/23/93	
CY		EP	0 593 181 A2		04/20/94	
CZ		EP	0 616 817 A1		09/28/94	
DA		EP	0 738 520 A1		04/19/96	
DB		EP	0 875 262 A		11/4/98	
DC		WO	91/08010		06/13/91	
DD		WO	92/09326		06/11/92	
DE		WO	92/13584		08/20/92	
DF		WO	94/00176		01/06/94	
DG		WO	94/28798		12/22/94	
DH		WO	95/35130		12/28/95	
DI		WO	96/29111		09/26/96	
DJ		WO	98/23319		06/04/98	
DK		WO	98/23320		06/04/98	

\*Applicant's unique citation designation number (optional). <sup>1</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(Use as many sheets as necessary)</p>				<b>Complete if Known</b>	
				<b>Application Number</b>	Not Assigned
				<b>Filing Date</b>	July 3, 2003
				<b>First Named Inventor</b>	Mooney, et al.
				<b>Art Unit</b>	Not Assigned
				<b>Examiner Name</b>	Not Assigned
Sheet	5	of	5	<b>Attorney Docket Number</b>	ECC-5527DIV

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date	T <sup>6</sup>
		Office Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)	MM-DD-YYYY	
	DL		WO 98/24501		6/11/98	
	DM		WO 99/20326		04/29/99	
	DN		DE 30 35 243 A1		03/25/82	
	DO		DE 38 33 359 A1		04/05/90	

<b>OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), volume-issue number(s), page(s), date, publisher, city and/or country where published.			T <sup>6</sup>

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.